PRU-101US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kevin K. Lehmann et al.

: Art Unit:

Serial No.:

To Be Assigned

: Examiner:

Filed:

Herewith

.

For:

FIBER OPTIC BASED

CAVITY RING-DOWN

SPECTROSCOPY

APPARATUS



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

Japanese Reference 63013386 is not in the English language, but an English abstract thereof is submitted herewith.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made. This information disclosure statement is being filed within three months of the filing date of the above-referenced application. No Fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Attorneys for Applicant

Encls.: PTO Form 1449.

Copy of (40) References and 1 abstract

Dated: December 12, 2001

Suite 301 One Westlakes, Berwyn P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

EXPRESS MAIL Mailing Label Number: EL 743541648US Date of Deposit: December 12, 2001

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathleen Libby

FORM PTO-1449

Sheet 1 of 2

To Be Assigned

SERIAL NO ATTY. DOCKET NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE To Be Assigned PATENT AND TRADEMARK PRU-101US (Rev. 2-32) OFFICE **APPLICANT** Information Disclosure Statement by Applicant Kevin K. Lehmann et al. (Use several sheets if necessary) **GROUP FILING DATE**

U.S. PATENT DOCUMENTS

Herewith

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	1,719,443	07/02/1929	Nichterlein			
	3,402,364	09/17/1968	De Lang			
	3,711,788	01/16/1973	Forkner			
	3,976,368	08/24/1976	McCann et al.			
	3,982,203	09/21/1976	De Wit			
	4,161,436	07/17/1979	Gould			
	4,525,034 06/2		Simmons			
	5,578,793	03/25/1986	Kane et al.			
	4,677,639	06/30/1987	Sasser			
	4,740,986	04/26/1988	Reeder			
	4,746,201	05/24/1988	Gould			
	5,026,991	06/25/1991	Goldstein et al.			
	5,276,548	01/04/1994	Margalith			
	5,463,493	10/31/1995	Shah			
	5,483,342	01/09/1996	Rockwell			
	5,528,040	06/18/1996	Lehmann			
	5,835,231	11/10/1998	Pipino			
	5,912,740	06/15/1999	Zare et al.			
	5,973,864	10/26/1999	Lehmann et al.			
	6,097,555	08/01/2000	Lehmann et al.			
	6,172,823	01/09/2001	Lehmann et al.			

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO
	63013386	01/20/1988	Japan (AbstractOnly)			

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	1)	J. White, Long Optical Paths of Large Aperture, 32 J. Opt. Soc. Amer., 285 (May, 1942).
	2)	D. Heriott et al., Off-Axis Paths in Spherical Mirror Interferometers, 3 Appl. Opt. (4), 523 (Apr., 1964).
3	3)	A. O'Keefe & D. Deacon, Cavity Ring-Down Optical Spectrometer for Absorption Measurements Using Pulsed Laser Sources, 59 Rev. Sci. Instrum., 2544 (Dec., 1988).

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

			· · · · · · · · · · · · · · · · · · ·	Sneet 2 of 2
FORM PTO-1449	U.S. DEPARTMENT OF	ATTY. DOCKET NO.	SERIAL NO	
(Rev. 2-32)	COMMERCE PATENT AND TRADEMARK OFFICE	PRU-101US	To Be Assigned	22.0 20.0 20.0 20.0 20.0 20.0 20.0 20.0
Information Disclosure Statement by Applicant		APPLICANT Kevin K. Lehmann et al.		7017 7017 2/12/0
(Use several sheets if necessary)		FILING DATE	GROUP	
		Herewith	To Be Assigned	న్ 🗮

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

4)	D. Romanini & K. Lehmann, Ring-Down Cavity Absorportionn Spectroscopy of the Very Weak HCN Overtone Bands With Six, Seven, and Eight Stretching Quanta, 99 J. Chem. Phys. (9), 6287 (Nov. 1, 1993)
5)	G. Rempe et al., Measurement of Ultralow Losses in an Optical Interferometer, 17 Opt. Letters (5), 363 (Mar. 1, 1992).
6)	T. Yu & M. Lin, Kinetics of Phenyl Radical Reactions Studied by the "Cavity-Ring-Down" Method, 115 J. Am. Chem. Soc., 4371 (1993).
7)	G. Meijer et al., Coherent Cavity Ring Down Spectroscopy, 217 Chemical Physics Letters (1,2), 112 (Jan. 7, 1994).
8)	J. Scherer et al., Cavity Ring Down Dye Laser Spectrosopy of Jet-Cooled Metal Clusters: CU2 and CU3, 172 Chemical Physics Letters (3,4), 214 (Sep. 7, 1990).
9)	F. Stoelkel & G. Atkinson, Time Evolution of a Broadband Quasi-cw Dye Laser: Limitation of Sensitivity in Intracavity Laser Spectroscopy, 24 Applied Optics (21), 3591 (Nov. 1, 1985).
10)	K. Lehmann & D. Romanini, Molecules in the Stellar Environment, Experimental Measurement of Weak Band Intensities in Molecules in the Stellar Environment, (Springer, 1994).
11)	G. Gould et al., Crossed Roof Prism Interferometer, 1 Applied Optics (4), 533 (July 1962).
12)	A. Pipino et al., Evanascent Wave Cavity Ring-Down Spectroscopy with a Total-Internal Reflection Minicavity, 68 (8) Rev. Sci, Instrum., 2978 (August 1997).
13)	Stewart G, Atherton K, Yu H, Culshaw B. "An investigation of an optical fibre amplifier loop for intracavity and ring-down cacity loss measurements." Meas. Sci. Technol. 12: 843-849 (2001).
14)	Dmitriev AL, Yanshen Z, Xinyu M. "Optical-fiber passive ring resonator in a low-mode radiation-propagration regime." J. Opt. Technol. 67: 219-221 (2000)
15)	Blair S, Chen Y. "Resonant-enhanced evanescent-wave fluorescence biosensing with cylindrical optical cavities." Applied Optics. 40: 570-582 (2001)
16)	Littlejohn D, Lucas D, Han L. "Bent Silica Fiber Evanescent Absorption Sensors for Near-Infrared Spectroscopy." Applied Spectroscopy. 53: 845-849 (1999)
17)	Messica A, Greenstein A, Katzir A. "Theory of fiber-optic evanescent-wave spectroscopy and sensors." Applied Optics 35: 2274-2284 (1996)
18)	Trautmann et al., "Determination of the Deuterium Abundance in Water Using a CW Chemical DF Laser", Appl Phys., 24: No. 1, 49-53 (1981)
19)	Spammer, S, Swart, P, Booysen, A. "Interferometric distributed optical-fiber sensor", Applied Optics Vol. 35, No. 22: 4522-4525 (August 1996)
-	

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.